

Policy: 4064
Procedure: 4064.01
Chapter: Secure Facilities

Rule: Exclusion

Effective: 04/24/2006 Replaces: 4064.01 Dated: 06/15/2005

Purpose:

The Arizona Department of Juvenile Corrections (ADJC) is committed to employing a range of behavior management techniques to provide a safe and therapeutic environment and to teach juveniles pro-social decision making and problem solving skills. Exclusion, the brief removal of a juvenile from regular programming and contact with other juveniles, is intended to provide a juvenile with time and space in which to practice appropriate problem solving or a brief cool down period prior to processing a decision with employees. When requested by a juvenile, self exclusion in the form of quiet time may be awarded by the Multidisciplinary Team (MDT).

Rules:

- 1. Criteria For Exclusion: DIRECT CARE EMPLOYEES shall place a juvenile on exclusion when:
 - a. A **DIRECT CARE EMPLOYEE** determines a juvenile requires an environment that is less stimulating or separate from other juveniles as:
 - i. A cooling off period to avoid crisis; and/or
 - ii. To practice problem solving.
 - b. A **JUVENILE** requests exclusion in an effort to practice self-restraint or problem solving skills and employees determine the request:
 - i. Reflects a genuine effort by the juvenile to exercise self-restraint and avoid a crisis; and
 - ii. Is not an attempt by the juvenile to avoid programming;
 - c. The HOUSING UNIT MULTI-DISCIPLINARY TEAM (MDT) grants a juvenile up to 60 minutes of quiet time exclusion away from employees and other juveniles as a reward for good behavior.

2. **Prohibiting Juveniles From Exclusion:**

- a. The **HOUSING UNIT MDT** may prohibit the use of exclusion for specific juveniles when:
 - i. The juvenile is believed to be at an elevated risk for suicide or self-harm;
 - ii. The juvenile is on a behavior contract which prohibits the use of exclusion; or
 - iii. In their professional judgment the MDT members believe the use of exclusion would be counterproductive for a specific juvenile.
- b. Immediately after the Housing Unit MDT has prohibited a juvenile from exclusion the **HOUSING UNIT MANAGER** shall ensure the effective date and duration of the prohibition is recorded on the current Form 4064.01A Juveniles Prohibited From Exclusion.
- c. At the start of each day THIRD SHIFT DIRECT CARE EMPLOYEES shall:
 - i. Working from the previous day's form, make a new Form 4064.01A Juveniles Prohibited From Exclusion for the current day noting:
 - (1) Additions;
 - (2) Removals; and
 - (3) Changes in duration.
 - ii. Post the current Form 4064.01A Juveniles Prohibited From Exclusion in the housing unit exclusion binder.
- d. **DIRECT CARE EMPLOYEES** shall:
 - i. Not place a juvenile on exclusion who is:
 - (1) Currently on close observation; or
 - (2) Believed to be at an elevated risk for suicide or self-harm.
 - ii. Follow the guidelines of Procedure 4250.01 Suicide Prevention Procedure for juveniles believed to be an elevated risk for suicide or self harm;

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iii. Record the names of all juveniles believed to be at an elevated risk for suicide or selfharm on Form 4064.01A Juveniles Prohibited From Exclusion as soon as the determination is made.

3. Placing Juveniles On Exclusion:

- a. DIRECT CARE EMPLOYEES shall not:
 - i. Use exclusion for convenience of employees, which includes placing juveniles in their rooms for more than 15 minutes for:
 - (1) Shift change;
 - (2) Transition;
 - (3) MDT meetings;
 - (4) Unit cleaning; or
 - (5) Hygiene time.
 - ii. Place a juvenile on exclusion for more than one consecutive hour.
 - (1) If, after one consecutive hour the juvenile remains too agitated to return to normal programming, **DIRECT CARE EMPLOYEES** shall:
 - (a) Write an incident report; and
 - (b) Refer the juvenile to Separation.
- b. When placing a juvenile on <u>employee initiated</u> or <u>juvenile initiated</u> exclusion, **DIRECT CARE EMPLOYEES** shall:
 - i. Immediately record in the unit log the:
 - (1) Juvenile's name;
 - (2) Reason for the exclusion; and
 - (3) Time and date the exclusion was started.
 - ii. After 15 minutes of exclusion, begin Form 4250B Suicide Prevention Time Sheet;
 - (1) Check the "Exclusion" box;
 - (2) Record the reason(s) for the exclusion and attempted interventions in the Special Instructions section; and
 - (3) Record the time the juvenile was placed in exclusion on the "Time/Date Began" line
 - iii. Continuously, directly observe the juvenile at staggered intervals of no more than 15 minutes, noting this observation on Form 4250B Suicide Prevention Time Sheet;
 - iv. As soon a juvenile on exclusion is calm, DIRECT CARE EMPLOYEES shall:
 - (1) Conduct a Life Space Interview (LSI) Form 4061.01A to:
 - (a) Help the juvenile process the incident which led to the exclusion; and
 - (b) Learn other problem solving or coping skills.
 - (2) Attach the Form 4061.01A LSI Form to the Form 4250B Suicide Prevention Time Sheet.
- c. When granting a juvenile <u>quiet time exclusion</u> as a reward, the **HOUSING UNIT MDT** shall:
 - Award the quiet time exclusion during the weekly MDT meeting;
 - ii. In the comments section of Form 4321.01B MDT Monthly Staffing Update specify the:
 - (1) Date;
 - (2) Time;
 - (3) Duration; and
 - (4) Location.
 - iii. Ensure the quiet time exclusion:
 - (1) Can be staffed and supervised;
 - (2) Is assigned during non-treatment programming hours, such as responsibility time; and
 - (3) Occurs in:
 - (a) A designated area away from other juveniles; or
 - (b) The juvenile's room.
 - iv. **DIRECT CARE EMPLOYEES** shall:
 - (1) Not complete an LSI for quiet time exclusion;
 - (2) When exclusion begins, record in the unit log book the:

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- (a) Juvenile's name;
- (b) Reason for the exclusion; and
- (c) Time and date the exclusion was started.
- (3) If a juvenile's quiet time exclusion is assigned in his/her room or out of direct physical observation:
 - (a) After 15 minutes begin Form 4250B Suicide Prevention Time Sheet;
 - (b) Check the "Exclusion" box;
 - (c) Record the reason for the exclusion (quiet time) in the Special Instructions section;
 - (d) Record the time the juvenile was placed in exclusion on the "Time/Date Began" line; and
 - (e) Continuously, directly observe the juvenile at staggered intervals of no more than 15 minutes, noting this observation on Form 4250B Suicide Prevention Time Sheet.

4. Removing A Juvenile From Exclusion:

- a. **DIRECT CARE EMPLOYEES** shall remove a juvenile from exclusion:
 - i. <u>For employee-initiated exclusion</u> as soon as employee(s) believe the juvenile is calm and can safely return to normal programming;
 - ii. <u>For juvenile-initiated exclusion</u> as soon as the juvenile believes s/he is calm and willing to return to normal programming; and
 - iii. For quiet time exclusion at the appointed time as determined by the MDT.
- b. When a juvenile's exclusion period ends, **DIRECT CARE EMPLOYEES** shall:
 - Record the time the juvenile was removed from exclusion on the "Ended" line of Form 4250B Suicide Prevention Time Sheet (if applicable);
 - ii. Place the form in the exclusion log binder; and
 - iii. Record the time the juvenile was removed from exclusion in the housing unit log book.

5. Supervision And Forms Retention:

- a. HOUSING UNIT MANAGERS shall:
 - i. Ensure employees and juveniles use and document exclusion in accordance with this procedure;
 - ii. Review and sign each Form 4250B Suicide Prevention Time Sheet and the attached LSI Form within one working day of the end of the exclusion; and
 - iii. Retain the signed Form 4250B Suicide Prevention Time Sheet and Form 4064.01A Juveniles Prohibited from Exclusion in the exclusion log binder for a period of six months.

Effective Date:	Approved by Process Owner:	Review Date:	Reviewed By: